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Department of Water, Atmosphere
and Environment

Water surface estimation

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SWARM Summer School
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Outline I



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Ordinary differential equations

- Analytical solution

- Numerical solution

Water surface estimation

- Differential equation of the water surface

- Water surface at non-uniform flow

- Bifurcation

Retention basin

Ordinary differential equations



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initial-value problem:

$$\frac{du}{dt} = u' = f(t, u) \quad (1)$$

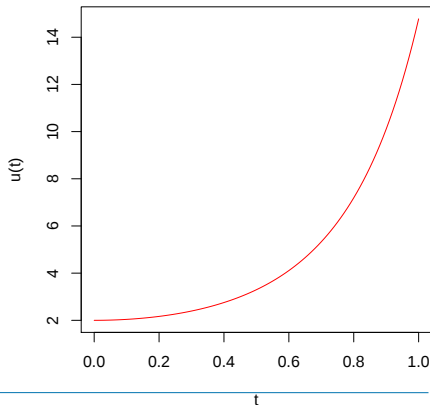
initial condition:

$$u(0) = u_0 \quad (2)$$

example:

$$u' = 4tu \quad u(0) = 2 \quad (3)$$

$$du/dt = 4tu$$



Ordinary differential equations: Analytical solution



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$$\begin{aligned}\frac{du}{dt} &= 4tu \Rightarrow \frac{1}{u} \cdot \frac{du}{dt} = 4t \Rightarrow \frac{1}{u} du = 4t dt \Rightarrow \int \frac{1}{u} du = \int 4t dt \\ &\Rightarrow \ln u + C_1 = 2t^2 + C_2 \Rightarrow e^{\ln u} = e^{2t^2} \cdot \underbrace{e^{C_2 - C_1}}_c\end{aligned}\quad (4)$$

$$\Rightarrow u = c \cdot e^{2t^2} \quad (5)$$

initial condition:

$$\begin{aligned}u(0) &= c \cdot e^{2 \cdot 0^2} = 2 \Rightarrow c = 2 \\ &\Rightarrow u(t) = 2e^{2t^2}\end{aligned}\quad (6)$$

Ordinary differential equations: Analytical solution



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“test”: Derivative of equation (6) and comparison to (3):

$$u' = 2 \cdot e^{2t^2} \cdot 4t = 4t \cdot \underbrace{2e^{2t^2}}_{=u(t)}$$
$$u' = 4t \cdot u$$

Ordinary differential equations: Numerical solution



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Explicit Euler method:

$$\frac{du}{dt} = u' = 4tu \Rightarrow \frac{\Delta u}{\Delta t} = 4tu \Rightarrow \frac{u_{n+1} - u_n}{\underbrace{t_{n+1} - t_n}_h} = 4t \cdot u(t_n) \quad (7)$$

$$\Rightarrow u_{n+1} = u_n + 4 \cdot h \cdot t \cdot u_n \quad (8)$$

Ordinary differential equations: Numerical solution



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Predictor-Corrector method (Runge-Kutta method):

$$\frac{du}{dt} = u' = 4tu \Rightarrow \frac{\Delta u}{\Delta t} = 4tu \Rightarrow \underbrace{\frac{u_{n+1} - u_n}{t_{n+1} - t_n}}_h = 4t_{n+\frac{1}{2}} \cdot u(t_{n+\frac{1}{2}}) \quad (9)$$

$$\Rightarrow u_{n+1} = u_n + 4 \cdot h \cdot t_{n+\frac{1}{2}} \cdot u_{n+\frac{1}{2}} \quad (10)$$

$$u_{n+\frac{1}{2}} = \frac{u_n + u_{n+1}^*}{2} \quad t_{n+\frac{1}{2}} = \frac{t_n + t_{n+1}^*}{2} \quad (11)$$

u_{n+1}^* is estimated using the Euler-method (8)

Ordinary differential equations: Numerical solution



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	A	B	C	D
1	n	t	u explicit Euler	u analytical
2	0	0	2.0000	2.0000
3	1	0.1	2.0800	2.0404
4	2	0.2	2.2464	2.1666
5	3	0.3	2.5160	2.3944
6	4	0.4	2.9185	2.7543
7	5	0.5	3.5022	3.2974
8	6	0.6	4.3428	4.1089
9	7	0.7	5.5587	5.3289
10	8	0.8	7.3375	7.1933
11	9	0.9	9.9790	10.1062
12	10	1	13.9707	14.7781

(a) input

(b) solution using the Euler-method

Fig.: Solution of the ordinary differential equation (3) using the Euler method for discretization

Ordinary differential equations: Numerical solution



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	A	B	C	D	E	F	G
1	n	t	u_n Prädiktor-Korrektor	$u_{(n+1)^*}$	$u_{(n+0.5)}$	$t_{(n+0.5)}$	$u_{(n+1)}$
2	0	0	2.0000	2.0000	2.0000	0.0500	2.0400
3	1	0.1	2.0400	2.1216	2.0808	0.1500	2.1648
4	2	0.2	2.1648	2.3380	2.2514	0.2500	2.3900
5	3	0.3	2.3900	2.6768	2.5334	0.3500	2.7447
6	4	0.4	2.7447	3.1838	2.9642	0.4500	3.2782
7	5	0.5	3.2782	3.9339	3.6061	0.5500	4.0716
8	6	0.6	4.0716	5.0487	4.5601	0.6500	5.2572
9	7	0.7	5.2572	6.7292	5.9932	0.7500	7.0552
10	8	0.8	7.0552	9.3128	8.1840	0.8500	9.8377

Fig.: Solution of the ordinary differential equation (3) using the Predictor-Corrector method for discretization

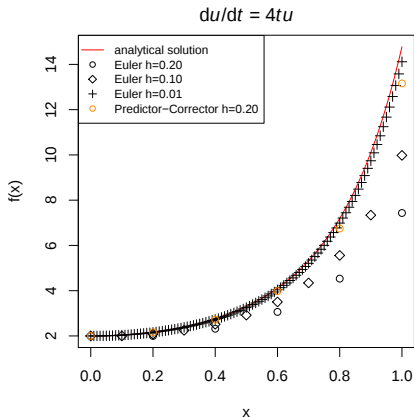
Ordinary differential equations: Numerical solution



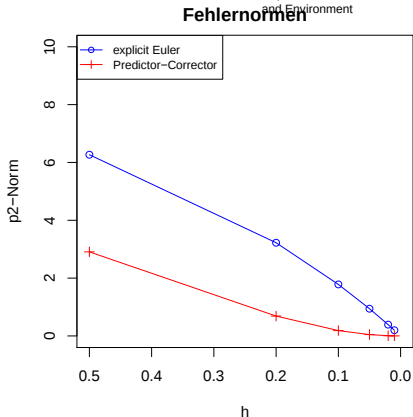
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(a) solution



(b) p_2 -norms

Water surface estimation: Differential equation of the water surface



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$$\frac{dh}{dx} = \frac{I_S - I_E}{1 + \frac{dh_g}{dh}} = \frac{I_S - I_E}{1 - \frac{Q^2 \cdot B}{g \cdot A^3}} = \frac{I_S - I_E}{1 - Fr^2} \quad (12)$$

see e. g. Maurer (2010) for the derivation

Analytical solution available only for a small number of cases, e. g.:

- ▶ method by Tolkmitt for parabolic cross sections
- ▶ method by Rühlmann for rectangular cross sections

Water surface estimation: Differential equation of the water surface



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Finite Differences Method:

$$\frac{h_{i+1} - h_i}{x_{i+1} - x_i} = \frac{I_S - I_E}{1 - \frac{Q^2 \cdot B}{g \cdot A^3}} \Rightarrow h_{i+1} = h_i + \Delta x \cdot \frac{I_S - I_E}{1 - \frac{Q^2 \cdot B}{g \cdot A^3}} \quad (13)$$

slope of the energy grade line I_E , e. g. from Manning-Strickler equation:

$$Q(h) = k_{St} \cdot I_E^{\frac{1}{2}} \cdot R(h)^{\frac{2}{3}} \cdot A(h) \Rightarrow I_E = \frac{Q^2(h)}{k_{St}^2 \cdot R(h)^{\frac{4}{3}} \cdot A^2(h)} \quad (14)$$

Euler scheme:

$$I_E = I_{Ei} = \frac{Q^2}{k_{St}^2 \cdot R(h_i)^{\frac{4}{3}} \cdot A^2(h_i)} \quad (15)$$

Water surface estimation: Differential equation of the water surface



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Predictor-Corrector scheme:

$$l_E = l_{Ei+\frac{1}{2}} = \frac{Q^2}{k_{St}^2 \cdot R(h_{i+\frac{1}{2}})^{\frac{4}{3}} \cdot A^2(h_{i+\frac{1}{2}})} \quad (16)$$

using:

$$A(h_{i+\frac{1}{2}}) = \frac{A(h_i) + A(h_{i+1}^*)}{2} \quad R(h_{i+\frac{1}{2}}) = \frac{R(h_i) + R(h_{i+1}^*)}{2} \quad (17)$$

h_{n+1}^* estimated using the Euler scheme (8)

Water surface estimation: Differential equation of the water surface



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	A	B	C	D
4				
5	Erdbeschleunigung	g	m/s ²	9.81
6	Flussbreite	b	m	30
7	Wasserstand am Ausgangspunkt	h ₀	m	2.5
8	Abfluss	Q	m ³ /s	525
9	Stricklerbeiwert	k _{ST}	m ^{1/3} /s	34
10	Sohlgefälle	Is	1	0.01
11	Normalabflusstiefe	h _n	m	2.86624
12				
13	Diskretisierungsschritt	Delta x	m	10

	A	B	C	D	E	F	G	H	I	J	K	L	M
1	i	x	h _i	U _i	A _i	R _i	IE _i	h _(i+1)	h _{(i+1)-h_i}	h _{(i+1)/h_i}	h _{(i+1)-h_n}	h _{(i+1)/h_n}	h _n
2		m	m	m	m ²	m		1m	m		1m		1m
3	0	0.0	2.5000	35.0000	75.0000	2.1429	0.0153	2.55354	0.05354	1.0214	-0.3127	0.8909	2.86624
4	1	10.0	2.5535	35.1071	76.6062	2.1821	0.0144	2.60332	0.04978	1.0195	-0.2629	0.9083	2.86624
5	2	20.0	2.6033	35.2066	78.0996	2.2183	0.0135	2.64896	0.04564	1.0175	-0.2173	0.9242	2.86624
6	3	30.0	2.6490	35.2979	79.4687	2.2514	0.0128	2.69009	0.04113	1.0155	-0.1761	0.9385	2.86624
7	4	40.0	2.6901	35.3802	80.7027	2.2810	0.0122	2.72640	0.03632	1.0135	-0.1398	0.9512	2.86624
8	5	50.0	2.7264	35.4528	81.7921	2.3071	0.0117	2.75770	0.03129	1.0115	-0.1085	0.9621	2.86624
9	6	60.0	2.7577	35.5154	82.7309	2.3294	0.0113	2.78392	0.02622	1.0095	-0.0823	0.9713	2.86624

Fig.: Solution of the differential equation of the water surface using the Euler scheme

Water surface estimation: Differential equation of the wa- ter surface

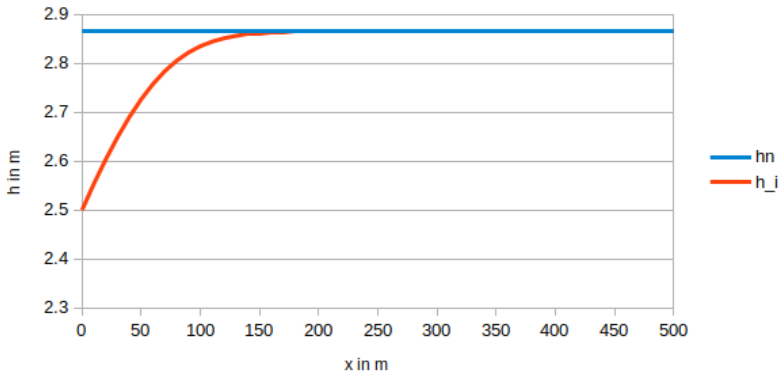


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Water surface estimation: Differential equation of the water surface



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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	i	x	h_i	U_i^*	A_i^*	R_i^*	IE_i^*	$h_{(i+1)^*}$	$h_{(i+1/2)}$	U_i	A_i	R_i	IE_i	$h_{(i+1)}$	$h_{(i+1)}-h_i$	$h_{(i+1)}/h_i$	$h_{(i+1)}/h_i$
2		m	m	m	m ²	m		1m	m	m	m ²	m		1m	m		1
3	0	0.0	2.5000	35.0000	75.0000	2.1429	0.0153	2.5535	2.5268	35.0535	75.8031	2.1625	0.0148	2.5517	0.0517	1.0207	0.8903
4	1	10.0	2.5517	35.1035	76.5521	2.1808	0.0144	2.6017	2.5767	35.1534	77.3009	2.1990	0.0140	2.5997	0.0479	1.0188	0.9070
5	2	20.0	2.5997	35.1994	77.9903	2.2157	0.0136	2.6456	2.6227	35.2453	78.6798	2.2323	0.0132	2.6435	0.0438	1.0169	0.9223
6	3	30.0	2.6435	35.2870	79.3050	2.2474	0.0129	2.6852	2.6644	35.3287	79.9307	2.2625	0.0126	2.6829	0.0394	1.0149	0.9360
7	4	40.0	2.6829	35.3658	80.4877	2.2759	0.0123	2.7201	2.7015	35.4031	81.0459	2.2892	0.0120	2.7177	0.0348	1.0130	0.9482
8	5	50.0	2.7177	35.4355	81.5323	2.3009	0.0118	2.7503	2.7340	35.4681	82.0208	2.3125	0.0116	2.7479	0.0301	1.0111	0.9587
9	6	60.0	2.7479	35.4957	82.4362	2.3224	0.0114	2.7758	2.7618	35.5236	82.8547	2.3324	0.0112	2.7734	0.0255	1.0093	0.9676
10	7	70.0	2.7734	35.5467	83.2010	2.3406	0.0111	2.7967	2.7851	35.5701	83.5516	2.3489	0.0109	2.7945	0.0211	1.0076	0.9750
11	8	80.0	2.7945	35.5889	83.8336	2.3556	0.0108	2.8136	2.8040	35.6080	84.1206	2.3624	0.0107	2.8115	0.0170	1.0061	0.9809
12	9	90.0	2.8115	35.6230	84.3449	2.3677	0.0106	2.8268	2.8192	35.6383	84.5748	2.3731	0.0105	2.8250	0.0135	1.0048	0.9856
13	10	100.0	2.8250	35.6500	84.7495	2.3773	0.0105	2.8370	2.8310	35.6620	84.9300	2.3815	0.0104	2.8355	0.0105	1.0037	0.9893
14	11	110.0	2.8355	35.6709	85.0636	2.3847	0.0103	2.8447	2.8401	35.6802	85.2027	2.3880	0.0103	2.8435	0.0080	1.0028	0.9920
15	12	120.0	2.8435	35.6869	85.3035	2.3903	0.0103	2.8505	2.8470	35.6939	85.4092	2.3928	0.0102	2.8495	0.0060	1.0021	0.9942
16	13	130.0	2.8495	35.6990	85.4843	2.3946	0.0102	2.8548	2.8521	35.7042	85.5636	2.3965	0.0102	2.8540	0.0045	1.0016	0.9957
17	14	140.0	2.8540	35.7079	85.6191	2.3978	0.0101	2.8579	2.8559	35.7119	85.6780	2.3991	0.0101	2.8573	0.0033	1.0012	0.9969

Fig.: Solution of the differential equation of the water surface using the Predictor-Corrector scheme

Water surface estimation: Water surface at non-uniform flow

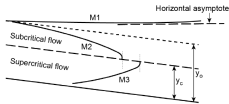


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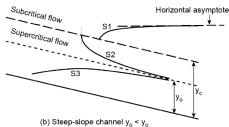


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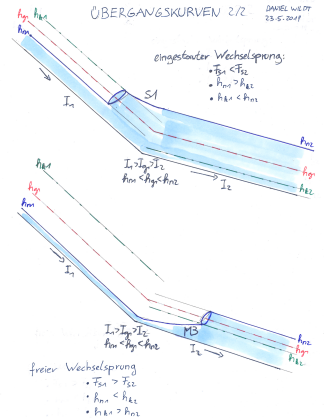
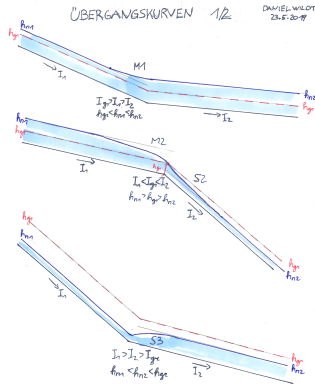
DANIEL WILDT
23.5.2021



(a) Mild-slope channel $y_0 > y_c$



(b) Steep-slope channel $y_0 < y_c$



Water surface estimation: Water surface at non-uniform flow



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subcritical uniform flow at:

- M1 build-up at subcritical flow
- M2 acceleration of subcritical flow
- M3 build-up of supercritical flow at low bed slope upstream the hydraulic jump

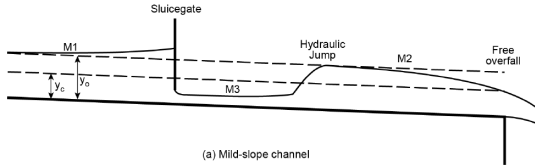
supercritical uniform flow:

- S1 build-up of supercritical flow at low bed slope downstream the hydraulic jump
- S2 acceleration of supercritical flow
- S3 build-up at supercritical flow

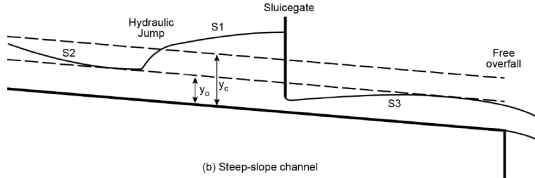
Water surface estimation: Water surface at non-uniform flow



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(a) Mild-slope channel



(b) Steep-slope channel

Water surface estimation: Water surface at non-uniform flow



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Use the MS Excel workbook `Staukurve_v10.xls` to calculate the water surface at non-uniform flow conditions given the following values:

- ▶ river width $b = 30$ m
- ▶ water depth at the starting point $h_0 = 2,5$ m
- ▶ discharge $Q = 525 \text{ m}^3 \text{ s}^{-1}$
- ▶ Manning's coefficient $\frac{1}{n} = k_{St} = 34 \text{ m}^{1/3} \text{ s}^{-1}$
- ▶ bed slope $I = 0,01$

Tasks `Staukurve_v10.xls`

- ▶ Which type of curve will be found under the given conditions?
- ▶ Change the input values for other possible curve types!

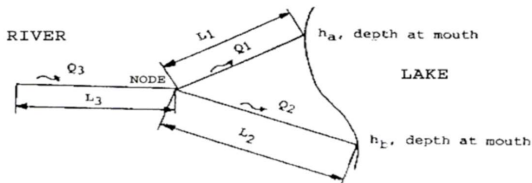
Water surface estimation: Bifurcation



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$$\begin{aligned}Dx &= 1000 \text{ m} \\C &= 50 \text{ m}^{1/2}/\text{s} \\\alpha &= 150 \text{ m}^2/\text{s}\end{aligned}$$

$$\begin{aligned}L_1 &= 15 \text{ km} \\L_2 &= 25 \text{ km} \\L_3 &= 10 \text{ km} \\b_1 &= 60 \text{ m} \\b_2 &= 72 \text{ m} \\b_3 &= 120 \text{ m} \\i_1 &= 5\text{E-}04 \\i_2 &= 3\text{E-}04 \\i_3 &= 5\text{E-}04 \\h_A = h_B &= 5 \text{ m} \\Q &= 750 \text{ m}^3/\text{s}\end{aligned}$$

Fig.: Backwater curve and bifurcation, solve using Backwater_cure_v11.xls.
solution: a) $Q_1 = 388,7 \text{ m}^3 \text{ s}^{-1}$, $Q_2 = 361,3 \text{ m}^3 \text{ s}^{-1}$; b) 3,15 m and 3,23 m

Retention basin



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$$dV = (Q_{in} - Q_{aus}) dt \quad (18)$$

$$V = A \cdot h \quad (19)$$

$$dV = \frac{A dh}{dt} = (Q_{in} - Q_{out}) dt \quad (20)$$

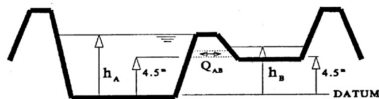
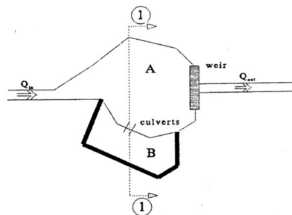


Fig.: Scheme of the retention basin

Retention Discretization basin A



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Euler method:

$$\Delta V_A = A_A \cdot \Delta h_A = (Q_{\text{in}} - Q_{\text{out}} - Q_{AB}) \cdot \Delta t \quad (21)$$

$$(h_A^{n+1} - h_A^n) \cdot A_A = (Q_{\text{in}} - Q_{\text{out}} - Q_{AB})^n \cdot \Delta t \quad (22)$$

$$\Rightarrow h_A^{n+1} = \frac{\Delta t}{A_A} \cdot (Q_{\text{in}} - Q_{\text{out}} - Q_{AB})^n + h_A^n \quad (23)$$

Predictor-Corrector method:

$$\Rightarrow h_A^{n+1} = \frac{\Delta t}{A_A} \cdot (Q_{\text{zu}} - Q_{\text{out}} - Q_{AB})^{n+\frac{1}{2}} + h_A^{n+\frac{1}{2}} \quad (24)$$

Retention Discretization basin B

basin:



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Euler method:

$$\Delta V_B = A_B \cdot \Delta h_B = Q_{AB} \cdot \Delta t \quad (25)$$

$$\left(h_B^{n+1} - h_B^n\right) \cdot A_B = Q_{AB}^n \cdot \Delta t \quad (26)$$

$$\Rightarrow h_B^{n+1} = \frac{\Delta t}{A_B} \cdot Q_{AB}^n + h_B^n \quad (27)$$

Predictor-Corrector method:

$$\Rightarrow h_B^{n+1} = \frac{\Delta t}{A_B} \cdot Q_{AB}^{n+\frac{1}{2}} + h_B^{n+\frac{1}{2}} \quad (28)$$

Retention basin: Outlet flow rate



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Flow rate Q_{out} through outlet basin A:

$$Q_{\text{out}} = \min \left(12,5 \cdot h_A^{\frac{3}{2}}, 100 \text{ m}^3 \text{ s}^{-1} \right) \quad (29)$$

$$Q_{\text{out}}^0 = 35 \text{ m}^3 \text{ s}^{-1} \quad \Rightarrow \quad h_A^0 = \left(\frac{Q_{\text{out}}^0}{12,5} \right)^{\frac{2}{3}} = 1,99 \text{ m} \quad (30)$$

Flow rate between basin A and B:

$$A_{AB} = \begin{cases} 36,5 \cdot \sqrt{h_A - h_B} & \text{für } h_A > h_B \geq 4,5 \text{ m} \\ -36,5 \cdot \sqrt{h_B - h_A} & \text{für } h_B > h_A \text{ und } h_B > 4,5 \text{ m} \\ 0 \text{ m}^3 \text{ s}^{-1} & \text{für } 4,5 \text{ m} > h_B \geq h_A \end{cases} \quad (31)$$

Retention basin



A system of two retention basins A and B is installed for flood protection (see figure).

Tasks Rueckhaltebecken_v11.xls

1. What time does it take until steady flow conditions are reached after the beginning of the given event?
 - 1.a using the default basin sizes ($A_A = 10^6 \text{ m}^2$, $A_B = 500\,000 \text{ m}^2$)
 - 1.b basin A is 20 % bigger
 - 1.c basin B is 50 % smaller (basin A back to default size)
2. How can the differences be explained?

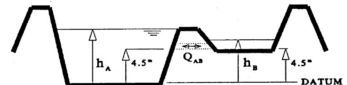
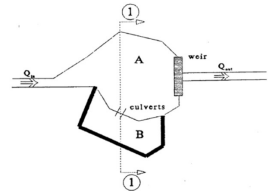


Fig.: Scheme of the retention basin



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